

AO 257 (Rev. 6/78)

**DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT**BY: ☐ COMPLAINT ☒ INFORMATION ☐ INDICTMENT  
☐ SUPERSEDING**OFFENSE CHARGED**

26 U.S.C. § 7201 - Tax Evasion (6 Counts)

☐ Petty  
☐ Minor  
☐ Misdemeanor  
☒ Felony

E-filing

**PENALTY:**

26 U.S.C. § 7201 - 5 yrs prison, \$250,000 fine, 3 yrs supervised release, \$100 assessment

Name of District Court, and/or Judge/Magistrate Location  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

DEFENDANT - U.S.

ANN RAY, aka GEORGIA ENGELHART  
DISTRICT COURT NUMBER  
RICHARD W. WIEKING  
CLERK, U.S. DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

JUL - 8 2011

CR 11 0466 WHA

**DEFENDANT****IS NOT IN CUSTODY**

- 1) ☒ Has not been arrested, pending outcome this proceeding. If not detained give date any prior summons was served on above charges
- 2) ☐ Is a Fugitive
- 3) ☐ Is on Bail or Release from (show District)

**IS IN CUSTODY**

- 4) ☐ On this charge
- 5) ☐ On another conviction
- 6) ☐ Awaiting trial on other charges } ☐ Fed'l ☐ State
- If answer to (6) is "Yes", show name of institution

Has detainer been filed? ☐ Yes ☒ No } If "Yes" give date filed

**DATE OF ARREST**

Month/Day/Year

Or... if Arresting Agency &amp; Warrant were not

Month/Day/Year

**DATE TRANSFERRED TO U.S. CUSTODY**☐ This report amends AO 257 previously submitted**ADDITIONAL INFORMATION OR COMMENTS****PROCESS:**☐ SUMMONS ☒ NO PROCESS\* ☐ WARRANT Bail Amount: \_\_\_\_\_

If Summons, complete following:

☐ Arraignment ☐ Initial Appearance

Defendant Address: \_\_\_\_\_

\*Where defendant previously apprehended on complaint, no new summons or warrant needed, since Magistrate has scheduled arraignment

Date/Time: \_\_\_\_\_

Before Judge: \_\_\_\_\_

Comments: \_\_\_\_\_

**PROCEEDING**  
Name of Complainant Agency, or Person (& Title, if any)

INTERNAL REVENUE SERVICE

☐ person is awaiting trial in another Federal or State Court, give name of court☐ this person/proceeding is transferred from another district per (circle one) FRCrP 20, 21 or 40. Show District☐ this is a reprosecution of charges previously dismissed which were dismissed on motion of:☐ U.S. Att'y ☐ Defense☐ this prosecution relates to a pending case involving this same defendant☐ prior proceedings or appearance(s) before U.S. Magistrate regarding this defendant were recorded under

SHOW DOCKET NO.

MAGISTRATE CASE NO.

Name and Office of Person  
Furnishing Information on MELINDA HAAG  
THIS FORM☒ U.S. Att'y ☐ Other U.S. AgencyName of Asst. U.S. Att'y  
(if assigned) THOMAS MOORE, AUSA, TAX DIV.

MELINDA HAAG (CABN 132612)  
United States Attorney

FILED

JUL - 8 2011

RICHARD W. WIEKING  
CLERK, U.S. DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

E-filing

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

WHA

UNITED STATES OF AMERICA,

Plaintiff,

v.

ANN RAY, aka GEORGIA  
ENGELHART,

Defendant.

CR 11 0466

VIOLATIONS: 26 U.S.C. § 7201 - Tax  
Evasion (6 Counts)

SAN FRANCISCO VENUE

INFORMATION

The United States Attorney charges:

COUNT ONE: (26 U.S.C. § 7201 - Tax Evasion)

On or about April 15, 2005, in the Northern District of California, the defendant,  
ANN RAY, aka GEORGIA ENGELHART,  
a resident of Woodside, California, who during the calendar year 2004 was married, did  
willfully and knowingly attempt to evade and defeat a part of the income tax due and  
owing by her and her spouse to the United States of America for the calendar year 2004  
by preparing, signing, and delivering, and causing to be prepared, signed, and delivered, a  
false and fraudulent joint U.S. Individual Income Tax Return, Form 1040, on behalf of  
herself and her spouse, which tax return was filed with the Internal Revenue Service,

1 wherein it was stated that the tax due and owing to the United States for the calendar year  
2 2004 was \$19,038.00, whereas, as she then and there well knew and believed, their joint  
3 tax liability for the said calendar year was substantially in excess of that stated on said tax  
4 return and that an additional tax of \$254,737.00 was due and owing to the United States  
5 of America.

6 All in violation of Title 26, United States Code, Section 7201.

7 COUNT TWO: (26 U.S.C. § 7201 - Tax Evasion)

8 On or about April 17, 2006, in the Northern District of California, the defendant,  
9 ANN RAY, aka GEORGIA ENGELHART,  
10 a resident of Woodside, California, who during the calendar year 2005 was married, did  
11 willfully and knowingly attempt to evade and defeat a part of the income tax due and  
12 owing by her and her spouse to the United States of America for the calendar year 2005  
13 by preparing, signing, and delivering, and causing to be prepared, signed, and delivered, a  
14 false and fraudulent joint U.S. Individual Income Tax Return, Form 1040, on behalf of  
15 herself and her spouse, which tax return was filed with the Internal Revenue Service,  
16 wherein it was stated that the tax due and owing to the United States for the calendar year  
17 2005 was \$20,118.00, whereas, as she then and there well knew and believed, their joint  
18 tax liability for the said calendar year was substantially in excess of that stated on said tax  
19 return and that an additional tax of \$224,147.00 was due and owing to the United States  
20 of America.

21 All in violation of Title 26, United States Code, Section 7201.

22 COUNT THREE: (26 U.S.C. § 7201 - Tax Evasion)

23 On or about April 16, 2007, in the Northern District of California, the defendant,  
24 ANN RAY, aka GEORGIA ENGELHART,  
25 a resident of Woodside, California, who during the calendar year 2006 was married, did  
26 willfully and knowingly attempt to evade and defeat a part of the income tax due and  
27 owing by her and her spouse to the United States of America for the calendar year 2006  
28 by preparing, signing, and delivering, and causing to be prepared, signed, and delivered, a

1 false and fraudulent joint U.S. Individual Income Tax Return, Form 1040, on behalf of  
2 herself and her spouse, which tax return was filed with the Internal Revenue Service,  
3 wherein it was stated that the tax due and owing to the United States for the calendar year  
4 2006 was \$13,105.00, whereas, as she then and there well knew and believed, their joint  
5 tax liability for the said calendar year was substantially in excess of that stated on said tax  
6 return and that an additional tax of \$263,183.00 was due and owing to the United States  
7 of America.

8 All in violation of Title 26, United States Code, Section 7201.

9 COUNT FOUR: (26 U.S.C. § 7201 - Tax Evasion)

10 On or about April 15, 2008, in the Northern District of California, the defendant,  
11 ANN RAY, aka GEORGIA ENGELHART,  
12 a resident of Woodside, California, who during the calendar year 2007 was married, did  
13 willfully and knowingly attempt to evade and defeat a part of the income tax due and  
14 owing by her and her spouse to the United States of America for the calendar year 2007  
15 by preparing, signing, and delivering, and causing to be prepared, signed, and delivered, a  
16 false and fraudulent joint U.S. Individual Income Tax Return, Form 1040, on behalf of  
17 herself and her spouse, which tax return was filed with the Internal Revenue Service,  
18 wherein it was stated that the tax due and owing to the United States for the calendar year  
19 2007 was \$15,353.00, whereas, as she then and there well knew and believed, their joint  
20 tax liability for the said calendar year was substantially in excess of that stated on said tax  
21 return and that an additional tax of \$190,152.00 was due and owing to the United States  
22 of America.

23 All in violation of Title 26, United States Code, Section 7201.

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1 COUNT FIVE: (26 U.S.C. § 7201 - Tax Evasion)

2 On or about April 15, 2009, in the Northern District of California, the defendant,  
3 ANN RAY, aka GEORGIA ENGELHART,  
4 a resident of Woodside, California, who during the calendar year 2008 was married, did  
5 willfully and knowingly attempt to evade and defeat a part of the income tax due and  
6 owing by her and her spouse to the United States of America for the calendar year 2008  
7 by preparing, signing, and delivering, and causing to be prepared, signed, and delivered, a  
8 false and fraudulent joint U.S. Individual Income Tax Return, Form 1040, on behalf of  
9 herself and her spouse, which tax return was filed with the Internal Revenue Service,  
10 wherein it was stated that the tax due and owing to the United States for the calendar year  
11 2008 was \$11,924.00, whereas, as she then and there well knew and believed, their joint  
12 tax liability for the said calendar year was substantially in excess of that stated on said tax  
13 return and that an additional tax of \$242,818.00 was due and owing to the United States  
14 of America.

15 All in violation of Title 26, United States Code, Section 7201.

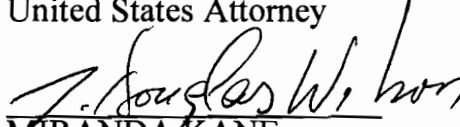
16 COUNT SIX: (26 U.S.C. § 7201 - Tax Evasion)

17 On or about April 15, 2010, in the Northern District of California, the defendant,  
18 ANN RAY, aka GEORGIA ENGELHART,  
19 a resident of Woodside, California, who during the calendar year 2009 was married, did  
20 willfully and knowingly attempt to evade and defeat a part of the income tax due and  
21 owing by her and her spouse to the United States of America for the calendar year 2009  
22 by preparing, signing, and delivering, and causing to be prepared, signed, and delivered, a  
23 false and fraudulent joint U.S. Individual Income Tax Return, Form 1040, on behalf of  
24 herself and her spouse, which tax return was filed with the Internal Revenue Service,  
25 wherein it was stated that the tax due and owing to the United States for the calendar year  
26 2009 was \$4,831.00, whereas, as she then and there well knew and believed, their joint  
27 tax liability for the said calendar year was substantially in excess of that stated on said tax  
28 return and that an additional tax of \$94,890.00 was due and owing to the United States of

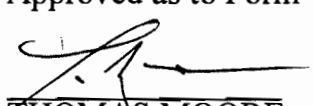
1 America.

2 All in violation of Title 26, United States Code, Section 7201.

3 MELINDA HAAG  
4 United States Attorney

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6 MIRANDA KANE  
7 Assistant United States Attorney  
8 Chief, Criminal Section

9 Approved as to Form

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11 THOMAS MOORE  
12 Assistant United States Attorney  
13 Chief, Tax Division  
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